

# Application Form for Lumpsum/SIP/Folio Creation

Please refer instructions before filling the form

Application No :

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (Refer Instruction no. 1vii).

**Transaction Charges** (Please tick any one of the below. For details refer KIM)

- I am a first time investor in Mutual Funds  
 I am an existing investor in Mutual Funds (Default)

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

Sign Here - Sole/First Applicant/Guardian/POA

Sign Here - Second Applicant

Sign Here - Third Applicant

- Country of Birth/Citizenship/Nationality or Tax Residency, other than India, for any applicant:  
 Yes  No (Mandatory to ✓)  
 If Yes, please fill FATCA/CRS declaration
- NRI investors should mandatorily fill separate FATCA/CRS declarations
- Non-Individual investors should mandatorily fill separate FATCA/ CRS & UBO declarations

**Instructions**

\*No joint holder where minor is first holder PAN/ PEKRN (Refer Instruction no. 3). Date of birth is mandatory in case of Minor, additionally refer Instruction no. 2, KYC & Networth (Refer Instruction no. 14).

## Key Partner/Agent Information

Mutual Fund Distributor ARN <small>ARN -</small>	Sub-Broker ARN Code <small>ARN -</small>	Internal Sub-Broker/Employee Code
Employee Unique Identification No. (EUIN) <small>(Of Individual ARN holder or of employee/ Relationship Manager/Sales Person of the Distributor)</small>		Registered Investment Advisor (RIA) Code / Portfolio Manager's Registration Number (PMRN)

**Existing Unitholder:** Please fill in Folio Number below and then proceed to section 2

Folio Number

Name of Sole / First Unitholder

## New Unitholder

### 1. Applicant Details

**Mode of Holding** (Only for non-demat mode)  Single  Joint  Anyone or Survivor (Default)

**First/Sole**  Mr. / Ms. / M/s. Name as per PAN records

City of Birth  Country of Birth

**PAN/PEKRN**  **Date of Birth**

**KIN**  Enclosed KYC Proof

**Gross Annual Income**  Below 1 Lac  1-5 Lacs (Default)  5-10 Lacs  10-25 Lacs  25 Lacs - 1 Crore  > 1 Crore

Net-worth  in Rs. As on (date within last 1 year) (Mandatory for Non-Individuals)

**Occupation Details**  
 Private Service  Pub. Sector / Govt. Serv.  Professional  Business **Others**  Politically Exposed Person (PEP)  
 Retired  Student  Agriculturist  Forex Dealer (For  Related to PEP  
 Housewife  Others \_\_\_\_\_ (Please specify) individuals  Not Applicable (Default)

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**Second\***  Mr. / Ms. / M/s. Name as per PAN records

City of Birth  Country of Birth

**PAN/PEKRN**  **Date of Birth**

**KIN**  Enclosed KYC Proof

**Gross Annual Income**  Below 1 Lac  1-5 Lacs (Default)  5-10 Lacs  10-25 Lacs  25 Lacs - 1 Crore  > 1 Crore

Net-worth  in Rs. As on (date within last 1 year) (Mandatory for Non-Individuals)

**Occupation Details**  
 Private Service  Pub. Sector / Govt. Serv.  Professional  Business **Others**  Politically Exposed Person (PEP)  
 Retired  Student  Agriculturist  Forex Dealer (For  Related to PEP  
 Housewife  Others \_\_\_\_\_ (Please specify) individuals  Not Applicable (Default)

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**Third\***  Mr. / Ms. / M/s. Name as per PAN records

City of Birth  Country of Birth

**PAN/PEKRN**  **Date of Birth**

**KIN**  Enclosed KYC Proof

**Gross Annual Income**  Below 1 Lac  1-5 Lacs (Default)  5-10 Lacs  10-25 Lacs  25 Lacs - 1 Crore  > 1 Crore

Net-worth  in Rs. As on (date within last 1 year) (Mandatory for Non-Individuals)

**Occupation Details**  
 Private Service  Pub. Sector / Govt. Serv.  Professional  Business **Others**  Politically Exposed Person (PEP)  
 Retired  Student  Agriculturist  Forex Dealer (For  Related to PEP  
 Housewife  Others \_\_\_\_\_ (Please specify) individuals  Not Applicable (Default)

**Others** (For Non-individuals) Is the entity involved in any of the following services (i) Foreign Exchange/Money Changer Services  Yes  No (Default) (ii) Gaming/Gambling/Lottery/Casino Services/Betting Syndicates  Yes  No (Default) (iii) Money Lending/Pawning  Yes  No (Default)



### 3. For SIP/Micro SIP<sup>1</sup>

 SIP  Micro SIP

Amount  Cheque Date

Drawn on Bank  Branch

Period From         To         Or  Till further notice

Cheque Nos. From  To

Name of the person making payment

PAN/PEKRN         Enclosed KYC Proof

KIN

Frequency  Monthly (Default) or  Quarterly (Jan, Apr, Jul, Oct)

SIP Date Date of your choice (except 29,30,31)   (15<sup>th</sup> Default)

Applicable in case of Third Party Payment:  
On behalf of  Client  Employee  
 Distributor (Refer instruction no. 6).

### 4. Demat Account Details<sup>2</sup>

Optional, Refer instruction no. 12

NSDL  CDSL  DP ID<sup>3</sup>

Beneficiary Account No.  DP Name

Please provide a cancelled cheque leaf of the same bank account as mentioned. We will credit the redemption/IDCW proceeds directly into investors' account through electronic means if the details provided by the investors are sufficient for the same. Mentioning your IFSC will help us transfer the amount to your bank account faster. Unitholders who have opted to hold Units in dematerialized form must provide Bank Account details linked with the Demat account, as mentioned under section 4. In case of discrepancy, bank details as per depository records will be final.

- I would like to receive cheque payout  
 I have provided multiple bank registration form

#### Instructions

IDCW - Income Distribution cum capital withdrawal Option  
LEI declaration is mandatory for all payment transactions undertaken by entities for value >= INR 50 crore  
<sup>1</sup>For SIP through Auto-Debit (Direct Debit/NACH) please fill respective SIP registration cum mandate form.  
<sup>2</sup>The details of the Bank Account linked with the Demat A/c as mentioned below should be provided under section 5.  
<sup>3</sup>Not applicable in case of CDSL.  
<sup>4</sup>9 digit No. next to your Cheque No.  
<sup>5</sup>11 digit character code appearing on cheque leaf.

### 5. Bank Account Details (Mandatory As Per SEBI Guidelines)

Refer instruction no. 4

Bank A/c. No.

Bank Name

City  PIN

Account Type  Current  Savings  SNRR  NRE  NRO  FCNR  Others \_\_\_\_\_

Remitter LEI No.:  Validity Date:

Beneficiary Name  **Invesco Mutual Fund**

Beneficiary LEI No.:  **549300ON71F6PVXRBF54** Validity Date:

Branch Address

MICR Code<sup>4</sup>

NEFT/RTGS/IFSC Code<sup>5</sup>

### 6. Option to receive Physical Copy of Annual Report

Refer Instruction no. 11

- I/We would like to receive physical copy of Annual Report of the Scheme or abridged summary thereof (Please )

#### Instructions

<sup>6</sup>Mandatory for investors who opt to hold units in non-demat form.  
Nomination facility is not available in a folio held on behalf of a minor.

### Acknowledgement Slip (To be filled by the Applicant)

Application No :

Received from  Mr. / Ms. / M/s.

Towards Subscription of (Scheme Name)

Amount (₹)  Cheque/DD No.

Date

Signature, Stamp & Date

**7. Nomination Details<sup>6</sup>**

Refer Instruction no. 10

(Please fill the appropriate section and strike out the other section which is not applicable.)

**SECTION A**

I/We, the above named Unitholders of **Invesco Mutual Fund**, do hereby

- Nominate the person(s) more particularly described hereunder to receive the Units held my/our Folio/s listed above in the event of my / our death and/or
- Cancel the nomination(s) made by me / us previously in respect of the units held by me/ us in the Folio/s listed above and Nominate the person(s) more particularly described hereunder to receive the Units held my/our Folio/s listed above in the event of my / our death and/or (tick whichever is applicable).

	Nominee 1	Nominee 2	Nominee 3
Nominee Name			
Nominee PAN			
% of allocation			
DOB of Nominee*			
Name of the Guardian*			
Guardian PAN			
Guardian Relationship with nominee	<input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian	<input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian	<input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian
Proof of Relationship	<input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Legal Guardian <input type="checkbox"/> Passport <input type="checkbox"/> Others _____	<input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Legal Guardian <input type="checkbox"/> Passport <input type="checkbox"/> Others _____	<input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Legal Guardian <input type="checkbox"/> Passport <input type="checkbox"/> Others _____
Address			
City			
State	PIN	PIN	PIN

\* applicable in case the Nominee is a Minor. (Also, please attach a copy of the minor's birth certificate)

**SECTION B (Declaration Form for opting out of nomination)**

I/We **DO NOT** wish to make a nomination. (Please tick ✓ if the unitholder does not wish to nominate anyone)

I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio. I/We have read and understood the instructions on nomination given in KIM/SID and I/We hereby undertake to abide by the same.

Signature of Sole/First Applicant/Guardian

Signature of the 2nd unitholder

Signature of the 3rd unitholder

**8. Declaration**

The Trustees, Invesco Mutual Fund

Having read and understood the contents of the Statement of Additional Information/Scheme Information Document(s) of the respective schemes, I/ We hereby apply to the Trustees of Invesco Mutual Fund for units of the Scheme/Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We have understood the details of the Scheme and I/We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We do not have any existing Micro Investments which together with the current Micro Investment application will result in aggregate investments exceeding Rs. 50,000/- in a year (applicable to Micro Investment investors only). The Distributor has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby authorise Invesco Mutual Fund, its Investment Manager and its Agents to disclose details of my/our investment to my/our bank(s)/ Invesco Mutual Fund's Bank(s) and/or Distributor/Broker/Investment Advisor and to verify my/ our bank details provided by me/us. I/We give my consent to AMC and its agents / Registrar to contact me over phone, SMS, email or any other mode to address my investment related queries and/or receive communication pertaining to transactions/ non-commercial transactions/ promotions/ potential investments and other communication/ material irrespective of my blocking preferences with the Customer Preference Registration Facility. I / We declare that the email address and mobile number provided is of the primary / joint unitholder(s) / Family member (spouse, dependent children or dependent parents) and not of any third party. I/We hereby declare that the particulars given above are correct. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Invesco Asset Management (India) Pvt. Ltd. (Investment Manager to Invesco Mutual Fund), their appointed service providers or representatives responsible. I/We will also inform Invesco Asset Management (India) Pvt. Ltd., about any changes in my/our bank account. I/We hereby declare that the amount invested by me/us in the Scheme of Invesco Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time. I/We confirm that I/We are not United States person(s) under the laws of United States or residents(s) of Canada as defined under the applicable laws of Canada.

**Applicable to PEKRN holders:** I, the first/sole holder hereby declare that I do not hold a Permanent Account Number and hold only a single 'PAN exempt PEKRN' issued by KRA and that my existing investment in schemes of Invesco Mutual Fund together with current application will not result in aggregate investments exceeding Rs. 50,000/- in a rolling 12 months period or in a financial year i.e. April to March.

**Applicable to NRIs only:** I/We confirm that I am/we are Non-Residents of Indian Nationality /Origin and that the funds are remitted from abroad through approved banking channels or from my/our NRE/NRO/FCNR/SNRR Account. I/We confirm that the details provided by me/us are true and correct.

Yes  No If NRI :  Repatriation basis  Non-Repatriation basis

**Signature(s) for Declaration**

Sole/First Applicant/Guardian/POA

Second Applicant

Third Applicant

Date 

D	D	M	M	Y	Y	Y	Y
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Place 

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